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SYSTEM AND METHOD FOR IDENTIFYING
BASE NOUN PHRASES

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SYSTEM AND METHOD FOR IDENTIFYING BASE NOUN PHRASES

RELATED APPLICATIONS

This application is a divisional of U.S. Patent Application serial number 09/840,772, entitled COMPUTER-AIDED READING SYSTEM AND METHOD WITH CROSS-LANGUAGE READING WIZARD, filed on April 23, 2001.

TECHNICAL FIELD

The present invention relates to natural language processing. More particularly, the present invention relates to identifying base noun phrases (baseNP).

BACKGROUND OF THE INVENTION

With the rapid development of the Internet, computer users all over the world are becoming increasingly more exposed to writings that are penned in non-native languages. Many users are entirely unfamiliar with non-native languages. Even for a user who has some training in a non-native language, it is often difficult for that user to read and comprehend the non-native language.

Consider the plight of a Chinese user who accesses web pages or other electronic documents written in English. The Chinese user may have had some formal training in English during school, but such training is often insufficient to enable them to fully read and comprehend certain words, phrases, or sentences written

1 in English. The Chinese-English situation is used as
2 but one example to illustrate the point. This problem
3 persists across other language boundaries.

4 Natural language processing refers to machine
5 processing of a natural language input. The natural
6 language input can take any one of a variety of forms,
7 including a textual input, a speech input, etc. Natural
8 language processing attempts to gain an understanding of
9 the meaning of the natural language input.

10 A base noun phrase (baseNP) as referred to herein is a
11 noun phrase that does not contain other noun phrases
12 recursively. For example, consider the sentence "Measures
13 of manufacturing activity fell more than the overall
14 measures." The elements within square brackets in the
15 following marked up sentence are baseNPs:

16 [Measures/NNS] of/IN [manufacturing/VBG
17 activity/NN] fell/VBD more/RBR than/IN [the/DT overall/JJ
18 measures/NNS] ./.

19 where the symbols NNS, IN, VBG, etc. are part-of-speech
20 tags as defined in M. Markus, Marcin Kiewicx, B.
21 Santorini, Building a large annotated corpus of English:
22 The Penn Treebank, Computational linguistics 19 (2): 313-
23 330, 1993.

24 Identifying baseNP in a natural language input is an
25 important subtask for many natural language processing
applications. Such applications include, for example,
partial parsing, information retrieval, and machine
translation. Identifying baseNP can be useful in other
applications as well.

26 A number of different types of methods have been
27 developed in the past in order to identify baseNP. Some
28 methods involved applying a transform-based, error-driven

1 algorithm to develop a set of transformation rules, and
2 using those rules to locally update the bracket positions
3 identifying baseNP. Other methods introduced a memory-
4 based sequence learning method in which training examples
5 are stored and a generalization is performed at run time by
6 comparing the sequence provided in the new text to positive
7 and negative evidence developed by the generalizations.
8 Yet another approach is an error driven pruning approach
9 that extracts baseNP rules from the training corpus and
10 prunes a number of bad baseNP identifications by
11 incremental training and then applies the pruned rules to
12 identify baseNPs through maximum length matching (or
13 dynamic programming algorithms).

14 Some of these prior approaches assigned scores to each
15 of a number of possible baseNP structures. Still others
16 dealt with identifying baseNP on a deterministic and local
17 level. However, none of these approaches considered any
18 lexical information in identifying baseNP. See, for
19 example, Lance A. Ramshaw, Michael P. Markus (In press),
20 Text Chunking Using Transformation-Based Learning: Natural
21 Language Processing Using Very Large Corpora., Kluwer, The
22 Second Workshop on Very Large Corpora. WVLC'95, pp. 82-94;
23 Cardie and D. Pierce, Error-Driven Pruning of Treebank
24 Grammars for BaseNP Identification, Proceedings of the 36th
25 International Conference on Computational Linguistics, pp.
26 218-224, 1998 (COLING-ACL'98); and S. Argamon, I. Dagan and
27 Y. Krymolowski, A Memory-Based Approach to Learning Shallow
28 Language Patterns, Proceedings of the 17th International
29 Conference on Computational Linguistics, pp. 67-73 (COLING-
30 ACL'98).

31 In addition, it can be seen from the example sentence
32 illustrated above that, prior to identifying baseNPs, part-

1 of-speech (POS) tagging must be preformed. The prior
2 techniques for identifying baseNP treated the POS tagging
3 and baseNP identification as two separate procedures. The
4 prior techniques identified a best estimate of the POS tag
5 sequence corresponding to the natural language input. Only
6 the best estimate was provided to the baseNP identification
7 component. However, the best estimate of the POS tag
8 sequence may not be the actual POS tag sequence which
9 corresponds to the natural language input. This type of
10 system leads to disadvantages. For example, using the
11 result of the first step (POS tagging) as if it were
12 certain and providing it to the second step (baseNP
13 identification) leads to more errors in identifying baseNP.

SUMMARY OF THE INVENTION

12 A system and method identify base noun phrases
13 (baseNP) in a linguistic input. A part-of-speech tagger
14 identifies N-best part-of-speech tag sequences
15 corresponding to the linguistic input. A baseNP identifier
16 identifies baseNPs in the linguistic input using a unified
17 statistical model that identifies the baseNPs, given the N-
18 best POS sequences. In one illustrative embodiment, the
19 unified statistical model considers a position of the POS
20 tags with respect to words identified as baseNPs in the
21 baseNP sequence.

BRIEF DESCRIPTION OF THE DRAWINGS

22 Fig. 1 is a block diagram of a computer system
23 that implements a reading system with a cross-language
24 reading wizard.

1 Fig. 2 is a block diagram of an exemplary shallow
2 parser in accordance with one embodiment.

3 Fig. 3 is a diagram that is useful in
4 understanding processing that takes place in accordance
with one embodiment.

5 Fig. 4 is a diagram that is useful in
6 understanding the Fig. 3 diagram.

7 Fig. 5 is a flow diagram that describes steps in a
8 method in accordance with one embodiment.

9 Fig. 6 is a diagram that is useful in
10 understanding processing that takes place in accordance
with one embodiment.

11 Fig. 7 is a flow diagram that describes steps in a
12 method in accordance with one embodiment.

13 Fig. 8 is a block diagram of an exemplary
14 translation generator in accordance with one
embodiment.

15 Figs. 9-13 show various exemplary user interfaces
16 in accordance with one embodiment.

17 **DETAILED DESCRIPTION**

18 **Overview**

19 A computer-aided reading system helps a user read
20 a non-native language. For discussion purposes, the
21 computer-aided reading system is described in the
22 general context of browser programs executed by a
23 general-purpose computer. However, the computer-aided
24 reading system may be implemented in many different
25 environments other than browsing (e.g., email systems,

1 word processing, etc.) and may be practiced on many
2 diverse types of devices.

3 The embodiments described below can permit users
4 who are more comfortable communicating in a native
5 language, to extensively read non-native language
6 electronic documents quickly, conveniently, and in a
7 manner that promotes focus and rapid assimilation of
8 the subject matter. User convenience can be enhanced
9 by providing a user interface with a translation window
10 closely adjacent the text being translated. The
11 translation window contains a translation of the
12 translated text. By positioning the translation window
13 closely adjacent the translated text, the user's eyes
14 are not required to move very far to ascertain the
15 translated text. This, in turn, reduces user-
perceptible distraction that might otherwise persist
if, for example, the user were required to glance a
distance away in order to view the translated text.

16 User interaction is further enhanced, in some
17 embodiments, by virtue of a mouse point translation
18 process. A user is able, by positioning a mouse to
19 select a portion of text, to quickly make their
20 selection, whereupon the system automatically performs
a translation and presents translated text to the user.

21
22 **Exemplary System Architecture**

23 Fig. 1 shows an exemplary computer system 100
24 having a central processing unit (CPU) 102, a memory
25 104, and an input/output (I/O) interface 106. The CPU

1 102 communicates with the memory 104 and I/O interface
2 106. The memory 104 is representative of both volatile
3 memory (e.g., RAM) and non-volatile memory (e.g., ROM,
4 hard disk, etc.). Programs, data, files, and may be
5 stored in memory 104 and executed on the CPU 102.

6 The computer system 100 has one or more peripheral
7 devices connected via the I/O interface 106. Exemplary
8 peripheral devices include a mouse 110, a keyboard 112
9 (e.g., an alphanumeric QWERTY keyboard, a phonetic
10 keyboard, etc.), a display monitor 114, a printer 116,
11 a peripheral storage device 118, and a microphone 120.
12 The computer system may be implemented, for example, as
13 a general-purpose computer. Accordingly, the computer
14 system 100 implements a computer operating system (not
15 shown) that is stored in memory 104 and executed on the
16 CPU 102. The operating system is preferably a multi-
17 tasking operating system that supports a windowing
18 environment. An example of a suitable operating system
19 is a Windows brand operating system from Microsoft
20 Corporation.

21 It is noted that other computer system
22 configurations may be used, such as hand-held devices,
23 multiprocessor systems, microprocessor-based or
24 programmable consumer electronics, network PCs,
25 minicomputers, mainframe computers, and the like. In
addition, although a standalone computer is illustrated
in Fig. 1, the language input system may be practiced
in distributed computing environments where tasks are
performed by remote processing devices that are linked

1 through a communications network (e.g., LAN, Internet,
2 etc.). In a distributed computing environment, program
3 modules may be located in both local and remote memory
4 storage devices.

5 **Exemplary Reading System**

6 The computer system 100 implements a reading
7 system 130 that assists users in reading non-native
8 languages. The reading system can provide help at the
9 word, phrase, or sentence level. The reading system is
10 implemented in Fig. 1 as a browser program 132 stored
11 in memory 104 and executed on CPU 102. It is to be
12 appreciated and understood that the reading system
13 described below can be implemented in contexts other
14 than browser contexts.

15 The reading system 130 has a user interface 134
16 and a cross-language reading wizard 136. The UI 134
17 exposes the cross-language reading wizard 136. The
18 browser program 132 may include other components in
19 addition to the reading system, but such components are
20 considered standard to browser programs and will not be
21 shown or described in detail.

22 The reading wizard 136 includes a shallow parser
23 140, a statistical word translation selector 142, and a
24 translation generator 144.

Exemplary Shallow Parser

The shallow parser 140 parses phrases or sentences of the selected non-native text into individual translation units (e.g., phrases, words).

Fig. 2 shows shallow parser 140 in a little more detail in accordance with one embodiment. The shallow parser can be implemented in any suitable hardware, software, firmware or combination thereof. In the illustrated and described embodiment, the shallow parser is implemented in software.

As shown, shallow parser 140 comprises a word segment module 200, a morphological analyzer 202, a part-of-speech (POS) tagging/base noun phrase identification module 204, a phrase extension module 206, and a pattern or template matching module 208. Although these components are shown as individual components, it should be appreciated and understood that the components can be combined with one another or with other components.

In accordance with the described embodiment, shallow parser 140 segments words in text that has been selected by a user. It does this using word segment module 200. The shallow parser then uses morphological analyzer 202 to morphologically process the words to obtain the morphological root of each word. The morphological analyzer can apply various morphological rules to the words in order to find the morphological root of each word. The rules that morphological analyzer 202 uses can be developed by a person skilled

1 in the particular language being analyzed. For
2 example, one rule in English is that the morphological
3 root of words that end in "ed" is formed by either
4 removing the "d" or the "ed".

5 The shallow parser 140 employs part-of-speech
6 (POS) tagging/base noun phrase (baseNP) identification
7 module 204 to characterize the words and phrases for
8 further translation selection. The POS tagging and
9 baseNP identification can be performed, for example, by
10 a statistical model, an example of which is described
11 below in a section entitled "POS tagging and baseNP
12 Identification" just below. The shallow parser 140
13 uses phrase extension module 206 to apply rule-based
14 phrase extension to the words characterized by POS
15 tagging/base noun phrase identification module 204.
16 One goal of the phrase extension module is to extend a
17 base noun phrase to a more complex noun phrase. For
18 example, "baseNP of baseNP" is the more complex noun
19 phrase of the "baseNP" phrase. The shallow parser 140
20 also uses patterning or template matching module 208 to
21 generate tree lists. The patterning or template
22 matching module is used for translation and recognizes
23 that some phrase translation is pattern dependent, and
24 is not directly related to the words in the phrases.
25 For example, the phrase "be interested in baseNP"
contains a pattern (i.e. "baseNP") that is used to form
a more complex translation unit for translation. The
words "be interested in" are not directly related to

1 the pattern that is used to form the more complex
2 translation unit.

3 **POS Tagging and BaseNP Identification**

4 The following discussion describes a statistical
5 model for automatic identification of English baseNP
6 (Noun Phrase) and constitutes but one way of processing
7 selected text so that a tree list can be generated.
8 The described approach uses two steps: the N-best Part-
9 Of-Speech (POS) tagging and baseNP identification given
10 the N-best POS-sequences. The described model also
11 integrates lexical information. Finally, a Viterbi
12 algorithm is applied to make a global search in the
13 entire sentence which permits a linear complexity for
14 the entire process to be obtained.

15 The Statistical Approach

16 In this section, the two-pass statistical model,
17 parameters training and the Viterbi algorithm for the
18 search of the best sequences of POS tagging and baseNP
19 identification are described. Before describing the
20 algorithm, some notations that are used throughout are
21 introduced.

22 Let us express an input sentence E as a word
23 sequence and a sequence of POS respectively as follows:

24
$$E = w_1 \ w_2 \ \dots \ w_{n-1} \ w_n$$

25
$$T = t_1 \ t_2 \ \dots \ t_{n-1} \ t_n$$

1 where n is the number of words in the sentence, t_i
2 is the POS tag of the word w_i .

3 Given E , the result of the baseNP identification
4 is assumed to be a sequence, in which some words are
5 grouped into baseNP as follows

6 $\dots w_{i-1} [w_i \ w_{i+1} \ \dots w_j] \ w_{j+1} \dots$

7 The corresponding tag sequence is as follows:

8 (a) $B = \dots t_{i-1} [t_i \ t_{i+1} \ \dots t_j] \ t_{j+1} \dots = \dots t_{i-1} \ b_{i,j} \ t_{j+1} \ \dots = n_1 \ n_2 \ \dots \ n_m$

9 in which $b_{i,j}$ corresponds to the tag sequence of a
10 baseNP: $[t_i \ t_{i+1} \ \dots \ t_j]$. $b_{i,j}$ may also be thought of as a
11 baseNP rule. Therefore B is a sequence of both POS
12 tags and baseNP rules. Thus $1 \leq m \leq n$, $n_i \in \{\text{POS tag set} \cup$
13 baseNP rules set\}. This is the first expression of a
14 sentence with baseNP annotated. Sometimes, we also use
15 the following equivalent form:

16 (b) $Q = \dots (t_{i-1}, bm_{i-1}) (t_i, bm_i) (t_{i+1}, bm_{i+1}) \dots (t_j, bm_j) (t_{j+1}, bm_{j+1}) \dots = q_1 \ q_2 \ \dots \ q_n$

17 where each POS tag t_i is associated with its
18 positional information bm_i with respect to baseNPs.
19 The positional information is one of $\{F, I, E, O, S\}$. F , E
20 and I mean respectively that the word is the left
21 boundary, right boundary of a baseNP, or at another
22 position inside a baseNP. O means that the word is
23 outside a baseNP. S marks a single word baseNP.

24 For example, the two expressions of the example
25 sentence given in the background section above are as
26 follows:

1 (a) $B = [NNS] \ IN \ [VBD \ NN] \ VBD \ RBR \ IN \ [DT \ JJ \ NNS]$
 2 (b) $Q = (NNS \ S) \ (IN \ O) \ (VBD \ F) \ (NN \ E) \ (VBD \ O) \ (RBR \ O) \ (IN \ O) \ (DT$
 3 $F) \ (JJ \ I) \ (NNS \ E) \ (.\ O)$

4 An 'integrated' two-pass procedure

5 The principle of the described approach is as
 6 follows. The most probable baseNP sequence B^* may be
 7 expressed generally as follows:

8
$$B^* = \operatorname{argmax}_B (p(B|E))$$

9 Where $p(B|E)$ represents the probability of the
 10 sequence of POS tags and baseNP rules (B) given the English
 11 sentence E.

12 We separate the whole procedure into two passes,
 13 i.e.:

14
$$B^* \approx \operatorname{argmax}_B (P(T|E) \times P(B|T, E)) \quad (1)$$

15 Where $P(T|E)$ represents the probability of a POS tag
 16 sequence T, given the input sentence E;

17 $P(B|T, E)$ represents the probability of the
 18 sequence B, given the POS tag sequence T and the input
 19 sentence E.

20 In order to reduce the search space and
 21 computational complexity, we only consider the N best
 22 POS tagging of E, i.e.

23
$$T(N\text{-best}) = \operatorname{argmax}_{T=T_1, \dots, T_N} (P(T|E))$$

24 (2)

25 Therefore, we have:

$$B^* \approx \operatorname{argmax}_{B, T=T_1, \dots, T_N} (P(T|E) \times P(B|T, E))$$

(3)

Correspondingly, the algorithm is composed of two steps: determining the N-best POS tagging using Equation (2), and then determining the best baseNP sequence from those POS sequences using Equation (3). The two steps are integrated together, rather than separated as in other approaches. Let us now examine the two steps more closely.

Determining the N best POS sequences

The goal of the algorithm in the first pass is to search for the N-best POS-sequences within the search space (POS lattice). According to Bayes' Rule, we have

$$P(T|E) = \frac{P(E|T) \times P(T)}{P(E)}$$

Since $P(E)$ does not affect the maximizing procedure of $P(T|E)$, equation (2) becomes

$$T(N-best) = \operatorname{argmax}_{T=T_1, \dots, T_N} (P(E|T) \times P(T)) \quad (4)$$

We now assume that the words in E are independent. Thus

$$P(E|T) \approx \prod_{i=1}^n P(w_i | t_i)$$

(5)

We then use a trigram model as an approximation of $P(T)$, i.e.:

$$P(T) \approx \prod_{i=1}^n P(t_i \mid t_{i-2}, t_{i-1}) \quad (6)$$

Finally we have

$$T(N-best) = \underset{T=T_1, \dots, T_N}{\text{argmax}}(P(T|E)) = \underset{T=T_1, \dots, T_N}{\text{argmax}}\left(\prod_{i=1}^n P(w_i | t_i) \times P(t_i | t_{i-2}, t_{i-1})\right) \quad (7)$$

This model thus outputs the N-best POS tag sequences for the given natural language input.

In the Viterbi algorithm of the N best search, $P(w_i | t_i)$ is called the lexical generation (or output) probability, and $P(t_i | t_{i-2}, t_{i-1})$ is called the transition probability in the Hidden Markov Model. The Viterbi algorithm is described in Viterbi, *Error Bounds for Convolution Codes and Asymptotically Optimum Decoding Algorithm*, IEEE Transactions on Information Theory IT-13(2): pp.260-269, April, 1967.

Determining the baseNPs

As mentioned before, the goal of the second pass is to search the best baseNP-sequence given the N-best POS-sequences.

Considering E, T and B as random variables, according to Bayes' Rule, we have

$$P(B|T, E) = \frac{P(B|T) \times P(E|B, T)}{P(E|T)}$$

Since $P(B|T) = \frac{P(T|B) \times P(B)}{P(T)}$ we have,

$$P(B|T, E) = \frac{P(E|B, T) \times P(T|B) \times P(B)}{P(E|T) \times P(T)} \quad (8)$$

Because we search for the best baseNP sequence for each possible POS-sequence of the given sentence E , $P(E|T) \times P(T) = P(E \cap T) = \text{const}$. Furthermore, from the definition of B , during each search procedure, we have

$P(T|B) = \prod_{i=1}^n P(t_i, \dots, t_j | b_{i,j}) = 1$. Therefore, equation (3) becomes

$$B^* = \operatorname{argmax}_{B, T=T_1, \dots, T_N} (P(T|E) \times P(B|T, E))$$

$$= \operatorname{argmax}_{B, T=T_1, \dots, T_N} (P(T|E) \times P(E|B, T) \times P(B))$$

(9)

using the independence assumption, we have

$$P(E|B, T) \approx \prod_{i=1}^n P(w_i | t_i, b m_i).$$

(10)

With trigram approximation of $P(B)$, we have:

$$P(B) \approx \prod_{i=1}^m P(n_i | n_{i-2}, n_{i-1})$$

(11)

Finally, we obtain

$$1 \quad B^* = \arg \max_{B, T=T_1 \dots T_N} (P(T | E) \times \prod_{i=1}^n P(w_i | b m_i, t_i) \times \prod_{i=1, m} P(n_i | n_{i-2}, n_{i-1})) \quad (12)$$

2 It should be noted that the unified statistical model
 3 illustrated by equation 12 not only determines a likely
 4 baseNP given all of the N-best possible POS tag sequences
 5 corresponding to the natural language input E, but the
 6 second term and equation 12 utilizes lexical information to
 7 do this. In other words, the second term on the right side
 8 of equation 12 takes into account the position of the
 9 present POS tag with respect to identified baseNP.

10 To summarize, in the first step, the Viterbi N-
 11 best searching algorithm is applied in the POS tagging
 12 procedure and determines a path probability f_t for each
 13 POS sequence calculated as follows:

$$14 \quad f_t = \prod_{i=1, n} p(w_i | t_i) \times p(t_i | t_{i-2}, t_{i-1}) \quad (\text{which corresponds to the first}$$

15 term in equation 12). In the second step, for each
 16 possible POS tagging result, the Viterbi algorithm is
 17 applied again to search for the best baseNP sequence.
 18 Every baseNP sequence found in this pass is also
 19 associated with a path probability

$$20 \quad f_b = \prod_{i=1}^n p(w_i | t_i, b m_i) \times \prod_{i=1, m} p(n_i | n_{i-2}, n_{i-1}). \quad \text{The integrated}$$

21 probability of a baseNP sequence is determined by
 22 $f_t^\alpha \times f_b$, where α is a normalization coefficient ($\alpha = 2.4$
 23 in our experiments). When we determine the best baseNP
 24 sequence for the given sentence E , we also determine
 25 the best POS sequence of E , since it is that POS
 sequence that corresponds to the best baseNP of E .

1 As an example of how this can work, consider the
2 following text: "stock was down 9.1 points yesterday
3 morning." In the first pass, one of the N-best POS
4 tagging results of the sentence is: T = NN VBD RB CD
NNS NN NN.

5 For this POS sequence, the second pass will try to
6 determine the baseNPs as shown in Fig. 3. The details
7 of the path in the dashed line are given in Fig 4. Its
8 probability calculated in the second pass is as follows
9 (Φ is pseudo variable used where no previous context
information is available for a given term):

$$10 \\ 11 P(B|T, E) = p(stock|NN, S) \times p(was|VBD, O) \times p(down|RB, O) \times p(NUMBER|CD, B) \\ 12 \times p(points|NNS, E) \times p(yesterday|NN, B) \times p(morning|NN, E) \times p(.,|., O) \\ 13 \times p([NN]|\Phi, \Phi) \times p(VBD|\Phi, [NN]) \times p(RB|[NN], VBD) \times p([CD NNS]|VBD, RB) \\ 14 \times p([NN NN]|RB, [CD NNS]) \times p(.,|[CD NNS], [NN NN]) \\ 15$$

16 The Statistical Parameter Training

17 While the specific statistical parameter training
18 methods do not form part of the invention, they are
19 described herein simply for the sake of completeness.

20 In this work, the training and testing data were
21 derived from the 25 sections of Penn Treebank. We
22 divided the whole Penn Treebank data into two sections,
23 one for training and the other for testing.

24 In our statistical model, we calculate the
25 following four probabilities: (1) $P(t_i | t_{i-2}, t_{i-1})$, (2) $P(w_i | t_i)$,
(3) $P(n_i | n_{i-2} n_{i-1})$ and (4) $P(w_i | t_i, bm_i)$. The first and the third

parameters are trigrams of T and B respectively. The second and the fourth are lexical generation probabilities. Probabilities (1) and (2) can be calculated from POS tagged data with following formulae:

$$p(t_i | t_{i-2}, t_{i-1}) = \frac{\text{count}(t_{i-2} t_{i-1} t_i)}{\sum_j \text{count}(t_{i-2} t_{i-1} t_j)} \quad (13)$$

$$p(w_i | t_i) = \frac{\text{count}(w_i \text{ with tag } t_i)}{\text{count}(t_i)} \quad (14)$$

As each sentence in the training set has both POS tags and baseNP boundary tags, it can be converted to the two sequences as B (a) and Q (b) described in the last section. Using these sequences, parameters (3) and (4) can be calculated with calculation formulas that are similar to equations (13) and (14) respectively.

Before training trigram model (3), all possible baseNP rules should be extracted from the training corpus. For instance, the following three sequences are among the baseNP rules extracted.

(1) DT CD CD NNPS
(2) RB JJ NNS NNS
(3) NN NN POS NN

... ...

There are more than 6,000 baseNP rules in the Penn Treebank. When training trigram model (3), we treat those baseNP rules in two ways. First, each baseNP rule

1 is assigned a unique identifier (UID). This means that
 2 the algorithm considers the corresponding structure of
 3 each baseNP rule. Second, all of those rules are
 4 assigned to the same identifier (SID). In this case,
 5 those rules are grouped into the same class.
 6 Nevertheless, the identifiers of baseNP rules are still
 7 different from the identifiers assigned to POS tags.

8 For parameter smoothing, an approach was used as
 9 described in Katz, *Estimation of Probabilities from*
 10 *Sparse Data for Language Model Component of Speech*
 11 *Recognize*, IEEE Transactions on Acoustics, Speech, and
 12 Signal Processing, Volume ASSP-35, pp. 400-401, March
 13 1987. A trigram model was built to predict the
 14 probabilities of parameter (1) and (3). In the case
 15 that unknown words are encountered during baseNP
 16 identification, a parameters (2) and (4) are calculated
 17 in the following way:

$$15 \quad p(w_i | bm_i, t_i) = \frac{count(bm_i, t_i)}{\max_j(count(bm_j, t_i))^2} \quad (15)$$

$$18 \quad p(w_i | t_i) = \frac{count(t_i)}{\max_j(count(t_j))^2} \quad (16)$$

19 Here, bm_j indicates all possible baseNP labels
 20 attached to t_i , and t_j is a POS tag guessed for the
 21 unknown word w_i .

22 Fig. 5 is a flow diagram that describes steps in a
 23 method in accordance with one embodiment. The steps
 24 can be implemented in any suitable hardware, software,
 25 firmware or combination thereof. In the illustrated

example, the steps are implemented in software. One particular embodiment of such software can be found in the above-mentioned cross-language writing wizard 136 which forms part of browser program 132 (Fig. 1). More specifically, the method about to be described can be implemented by a shallow parser such as the one shown and described in Fig. 2.

Step 500 receives selected text. This step is implemented in connection with a user selecting a portion of text that is to be translated. Typically, a user selects text by using an input device such as a mouse and the like. Step 502 segments words in the selected text. Any suitable segmentation processing can be performed as will be appreciated by those of skill in the art. Step 504 obtains the morphological root of each word. In the illustrated and described embodiment, this step is implemented by a morphological analyzer such as the one shown in Fig. 2. In the illustrated example, the morphological analyzer is configured to process words that are written in English. It is to be appreciated and understood, however, that any suitable language can provide a foundation upon which a morphological analyzer can be built.

Step 506 characterizes the words using part-of-speech (POS) tagging and base noun phrase identification. Any suitable techniques can be utilized. One exemplary technique is described in detail in the "POS Tagging and BaseNP Identification"

1 section above. Step 508 applies rules-based phrase
2 extension and pattern matching to the characterized
3 words to generate a tree list. In the above example,
4 this step was implemented using a phrase extension
5 module 206 and a pattern or template matching module
6 208. Step 510 outputs the tree list for further
7 processing.

8 As an example of a tree list, consider Fig. 6.
9 There, the sentence "The Natural Language Computing
10 Group at Microsoft Research China is exploring research
11 in advanced natural language technologies" has been
12 processed as described above. Specifically, the tree
13 list illustrates the individual words of the sentence
14 having been segmented, morphologically processed, and
15 characterized using the POS tagging and baseNP
16 techniques described above. For example, consider
17 element 600. There, the word "Natural" has been
18 segmented from the sentence and from a parent element
19 "natural language". Element 600 has also been
20 characterized with the POS tag "JJ". Other elements in
21 the tree have been similarly processed.

Exemplary Word Translation Selector

22 The word translation selector 142 receives the
23 tree lists and generates all possible translation
24 patterns. The selector 142 translates the parsed
25 translation units using a statistical translation and
language models to derive top candidate word

1 translations in the native text. The top candidate
2 translations are output.

3 Fig. 7 is a flow diagram that describes steps in a
4 method in accordance with one embodiment. The method
5 can be implemented in any suitable hardware, software,
6 firmware or combination thereof. In the illustrated
7 and described embodiment, the method is implemented in
8 software. One embodiment of such software can comprise
9 word translation selector 142 (Fig. 1).

10 Step 700 receives a tree list that has been
11 produced according to the processing described above.
12 Step 702 generates translation patterns from the tree
13 list. In one embodiment, all possible translation
14 patterns are generated. For example, for English to
15 Chinese translation, the English noun phrase "NP1 of
16 NP2" may have two kinds of possible translations: (1)
17 $T(NP1) + T(NP2)$, and (2) $T(NP2) + T(NP1)$. In the
18 phrase translation, the translated phrase is a syntax
19 tree and, in one embodiment, all possible translation
20 orders are considered. Step 704 translates parsed
21 translation units using a translation model and
22 language model. The translation units can comprise
23 words and phrases. Step 704 then outputs the top N
24 candidate word translations. The top N candidate word
25 translations can be selected using statistical models.

26 Exemplary Translation Generator

27 The translation generator 144 translates the top N
28 candidate word translations to corresponding phrases in
29

1 the native language. The native words and phrases are
2 then presented via the UI in proximity to the selected
3 text.

4 Fig. 8 shows translation generator 144 in a little
5 more detail in accordance with one embodiment. To
6 translate the top candidate words, the translation
7 generator can draw upon a number of different
8 resources. For example, the translation generator can
9 include a dictionary module 800 that it uses in the
10 translation process. The dictionary module 800 can
11 include a word dictionary, phrase dictionary, irregular
12 morphology dictionary or any other dictionaries that
13 can typically be used in natural language translation
14 processing, as will be apparent to those of skill in
15 the art. The operation and functions of such
16 dictionaries will be understood by those of skill in
17 the art and, for the sake of brevity, are not described
18 here in additional detail.

19 Translation generator 144 can include a template
20 module 802 that contains multiple templates that are
21 used in the translation processing. Any suitable
22 templates can be utilized. For example, so-called
23 large phrase templates can be utilized to assist in the
24 translation process. The operation of templates for
25 use in natural language translation is known and is not
described here in additional detail.

26 The translation generator 144 can include a rules
27 module 804 that contains multiple rules that are used
28 to facilitate the translation process. Rules can be
29

1 hand-drafted rules that are drafted by individuals who
2 are skilled in the specific languages that are the
3 subject of the translation. Rules can be drafted to
4 address issues pertaining to statistical errors in
5 translation, parsing, translation patterns. The
6 principles of rules-based translations will be
7 understood by those of skill in the art.

8 Translation generator 144 can include one or more
9 statistical models 806 that are used in the translation
10 process. The statistical models that can be used can
11 vary widely, especially given the number of possible
12 non-native and native languages relative to which
13 translation is desired. The statistical models can be
14 based on the above-described POS and baseNP statistical
15 parameters. In a specific implementation where it is
16 desired to translate from English to Chinese, the
17 following models can be used: Chinese Trigram Language
18 Model and the Chinese Mutual Information Model. Other
19 models can, of course, be used.

20 The above-described modules and models can be used
21 separately or in various combinations with one another.

22 At this point in the processing, a user has
23 selected a portion of non-native language text that is
24 to be translated into a native language. The selected
25 text has been processed as described above. In the
discussion that is provided just below, methods and
systems are described that present the translated text
to the user in a manner that is convenient and
efficient for the user.

Reading Wizard User Interface

The remaining discussion is directed to features of the user interface 134 when presenting the reading wizard. In particular, the reading wizard user interface 134 permits the user to select text written in a non-native language that the user is unsure how to read and interpret. The selection may be an individual word, phrase, or sentence.

Figs. 9-13 show exemplary reading wizard user interfaces implemented as graphical UIs (GUIs) that are presented to the user as part of a browser program or other computer-aided reading system. The illustrated examples show a reading system designed to assist a Chinese user when reading English text. The English text is displayed in the window. A user can select portions of the English text. In response to user selection, the reading wizard translates the selection into Chinese text and presents the Chinese text in a pop-up translation window or scrollable box.

Fig. 9 shows a user interface 900 that includes a portion of "non-native" text that has been highlighted. The highlighted text is displayed in a first area of the user interface. A second area of the user interface in the form of translation window 902 is configured to display translated portions of at least some of the text in a native language. The highlighted text, in this example, comprises the phrase "research in advanced natural language technologies". In this

example, a user has highlighted the word "advanced" and the reading system has automatically determined the word to comprise part of the phrase that is highlighted. The reading system then automatically shows the best translation of the highlighted phrase in translation window 902. By automatically determining a phrase that contains a user-selected word and then providing at least one translation for the phrase, the reader is provided with not only a translation of the word, but is provided a translated context in which the word is used. This is advantageous in that it gives the reader more translated information which, in turn, can facilitate their understanding of the material that they are reading.

Notice that the translation window 902 is located adjacent at least a portion of the highlighted text. By locating the translation window in this manner, the user is not required to divert their attention very far from the highlighted text in order to see the translated text. This is advantageous because it does not slow the user's reading process down an undesirable amount. Notice also that the translation window contains a drop down arrow 904 that can be used to expose other translated versions of the selected text. As an example, consider Fig. 10. There, translation window 902 has been dropped down to expose all translations of the highlighted phrase.

Fig. 11 shows a user interface 1100 having a translation window 1102. Here, the reading system

1 automatically detects that the word "generated" is not
2 in a phrase and translates only the word "generated."
3 The reading system can also provide multiple most
4 likely translations in the translation window 1102.
5 For example, three exemplary likely translations are
6 shown. In the illustrated example, the displayed
7 translations are context sensitive and are sorted
8 according to context. Accordingly, in this example,
9 the reading system can show only the top n translations
10 of the word, rather than all of the possible
11 translations of the word. Fig. 12 shows user interface
12 1100 where all of the possible translations of the word
13 "generated" are presented to the user in translation
14 window 1102.

15 Fig. 13 shows a user interface 1300 having a
16 translation window 1302 that illustrates one feature of
17 the described embodiment. Specifically, the user can
18 be given a choice as to whether they desire for an
19 entire phrase containing a selected word to be
20 translated, or whether they desire for only a selected
21 word to be translated. In this example, the user has
22 positioned their mouse in a manner that selects the
23 word "advanced" for translation. Since the word
24 "advanced" comprises part of a longer phrase, the
25 reading system would automatically translate the phrase
containing the selected word and then present the
choices to the user as described above. In this case,
however, the user has indicated to the reading system
that they want only the selected word to be translated.

1 They can do this in any suitable way as by, for
2 example, depressing the "Ctrl" key when making a word
selection.

3

4 **Conclusion**

5 The embodiments described above help a user read a
6 non-native language and can permit users who are more
7 comfortable communicating in a native language, to
8 extensively read non-native language electronic
9 documents quickly, conveniently, and in a manner that
10 promotes focus and rapid assimilation of the subject
11 matter. User convenience can be enhanced by providing
12 a user interface with a translation window (containing
13 the translated text) closely adjacent the text being
14 translated. By positioning the translation window
15 closely adjacent the translated text, the user's eyes
16 are not required to move very far to ascertain the
17 translated text. This, in turn, reduces user-
18 perceptible distraction that might otherwise persist
19 if, for example, the user were required to glance a
20 distance away in order to view the translated text.
21 User interaction is further enhanced, in some
22 embodiments, by virtue of a mouse point translation
23 process. A user is able, by positioning a mouse to
24 select a portion of text, to quickly make their
25 selection, whereupon the system automatically performs
a translation and presents translated text to the user.

Although the invention has been described in
language specific to structural features and/or

1 methodological steps, it is to be understood that the
2 invention defined in the appended claims is not
3 necessarily limited to the specific features or steps
4 described. Rather, the specific features and steps are
5 disclosed as preferred forms of implementing the
claimed invention.

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